

| ver. 19 August 2016

PAGE 1 OF 8

Readinessand Preparatory Support Proposal

How tocomplete this document?

- A <u>readiness guidebook</u> is available to provide information on how to access funding under the GCF Readiness and Preparatory Support programme. It should be consulted to assist in the completion of this proposal template.
- This document should be completed by National Designated Authorities (NDAs) orfocal points with support from their delivery partners where relevant.
- Please be concise. If you need to include any additional information, please attach it to the proposal.
- Information on the indicative list of activities eligible for readiness and preparatory support and the process for the submission, review and approval of this proposal can be found on pages 11-13 of the guidebook

Where to get support?

- If you are not sure how to complete this document, or require support, please send an e-mail to <u>countries@gcfund.org</u>. We will aim to get back to you within 48 hours.
- You can also complete as much of this document as you can and then send it to countries@gcfund.org. We will get back to you within 5 working days to discuss your submission and the way forward.

Note: Environmental and Social Safeguards and Gender

Throughout this document, when answering questions and providing details, please make sure to pay special attention to environmental, social and gender issues, in particular to the situation of vulnerable populations, including women and men. Please be specific about proposed actions to address these issues. Consult page 4 of the readiness guidebook for more information.



| ver. 19 August 2016

PAGE 2 OF 8

SECTION 1: SUMMARY					
1. Country submitting the proposal	Country name: Name of institution (representing National Design Name of official: Telephone: Full Office address:	nated Authority or Focal Point): Position: Email:			
2. Date of initial submission	DD/MM/YYYY				
3. Last date of resubmission (<i>if</i> <i>applicable</i>)	DD/MM/YYYY				
4. Which entity will	□ National Designated Authority □Delivery partner□Accredited entity				
implement the Readiness	Name of institution:				
and Preparatory Support project? (Provide the	Name of official:	Position:			
contact information if entity	•	Email:			
is different from NDA/focal point)	Full Office address:				
5. Title of the Readiness and Preparatory Support Proposal					
6. Brief summary of the request(200 words)					
7. Total requested amount and currency					
8. Anticipated duration					
9. Is the country receiving other Readiness and Preparatory Support related to the GCF?	Yes No If yes, please attach the relevant scope of work, a support provided by other institutions	and briefly (100 words) describe the scope of			



PAGE 3 OF 8 | ver. 19 August 2016

SECTION 2: COUNTRY READINESS LOGICAL FRAMEWORK

Please complete the table below, which enables a country to assess its readiness for the GCF and set targets for strengthening its readiness, including proposed outputs and activities to improve the country's readiness. For further guidance on completing the table, please refer to the guidebook "Accessing the GCF Readiness and Preparatory Support Programme".

	BASELINE	TARGET	ACTIVITIES
OUTCOMES	DASELINE	TARGET	(including key outputs or deliverables where applicable)
1. Country capacity strengthened	0-8	0-8	
1.1 NDA/focal point lead effective coordination mechanism			
1.2 No objection procedure established and implemented	□0 □1 □2	□0 □1 □2	
1.3 Bilateral agreements between the country and the GCF executed	□0 □1 □2	□0 □1 □2	
1.4 Monitoring, oversight and streamlining of climate finance	□0 □1 □2	□0 □1 □2	
2. Stakeholders engaged in consulta-	0-8	0-8	
tive processes			
2.1 Stakeholders engaged in consultative processes	□0 □1 □2		
2.2 Country programmes, including adaptation priorities, developed and continuously updated	□0 □1 □2	□0 □1 □2	
2.3 Stakeholder consultations conducted with equal representation of women	□0 □1 □2	□0 □1 □2	
2.4 Annual participatory review of GCF portfolio in the country organized	□0 □1 □2	□0 □1 □2	
3. Direct access realized	0-8	0-8	
3.1 Candidate entities identified and nominated for accreditation	□0 □1 □2	□0 □1 □2	
3.2 Direct access entity accredited	□0 □1 □2	□0 □1 □2	

¹ Based on decisions: B.08/10, annexes XII, XIII & XIV; B.08/11; B.11/10, annex I; B.12/20, annex I



READINESS AND PREPARATORY SUPPORT

PROPOSAL TEMPLATE

PAGE 4 OF 8 | ver. 19 August 2016

3.3 Entity/ies annual/multi-annual work programme developed	□0 □1 □2	□0 □1 □2	
3.4 Funding proposals through enhanced direct access modality approved	□0 □1 □2	□0 □1 □2	
4. Access to finance	0-8	0-8	
4.1 Structured dialogue between the NDA/Accredited Entities and the GCF Secretariat organized	□0 □1 □2	□0 □1 □2	
4.2 Country programmes, concept notes, including on adaptation, developed that implement high- impact priorities identified in INDCs and other national strategies or plans	□0 □1 □2	□0 □1 □2	
4.3 Project/programme preparation support, including for adaptation, to develop funding proposals provided	□0 □1 □2	□0 □1 □2	
4.4 Funding proposals, including for adaptation, that are aligned with country priorities approved	□0 □1 □2	□0 □1 □2	
5. Private sector mobilization	0-8	0-8	
5.1 Private sector engaged in country consultative processes	□0 □1 □2	□0 □1 □2	
5.2 Enabling environment for crowding-in private sector investments at national, regional and international levels exists	□0 □1 □2	□0 □1 □2	
5.3 Funding proposals for private sector projects/programmes, including for adaptation, approved	□0 □1 □2	□0 □1 □2	
5.4 Funding proposals under the Private Sector Facility programmes (small- and medium-sized enterprises and mobilizing funds at scale) submitted and approved	□0 □1 □2	□0 □1 □2	
TOTAL	0-40	0-40	



PAGE 5 OF 8 | ver. 19 August 2016

SECTION 3: ADDITIONAL INFORMATION

Please explain how this grant will help deliver on the country's readiness needs as identified above and build on institutions, processes or existing work already underway in the country(maximum 500 words)



PAGE 6 OF 8 | ver. 19 August 2016

SECTION 4: BUDGET, PROCUREMENT, IMPLEMENTATION AND DISBURSEMENT

OUTCOMES (same as in section 2)	ACTIVITIES (same as in section 2)	TOTAL COST (per activity)	COST CATEGORIES				EXPENDITURE AND IMPLEMENTATION SCHEDULE (add columns if >24 months)			
			Consultants	Travel	Workshops/ Trainings	Others	6m	12m	18m	24m
-										
CONTINGENCY (UP TO 5%)										
PROJECT MANAGEMENT COSTS (UP TO 10%)										
TOTAL										



PAGE 7 OF 8 | ver. 19 August 2016

Procurement plan

For goods or services to be procured/hired, list the items to be procured/hired (including consultants), the estimated cost of each item, and the procedure to be used (i.e. direct procurement, open tender, shopping, other and related thresholds). Please include the procurement plan for at least the first tranche of disbursement requested below.

Disbursement schedule

Specify the proposed schedule for requesting disbursements from the GCF, including amounts and periodicity. For amounts requested, keep to multiples of USD 5,000, and for periodicity, specify whether it's quarterly, bi-annually or annually only.

Additional information

This box provides an opportunity to include further explanations related to the budget, procurement plan and disbursement schedule, including any details on the assumptions to justify costs presented in the budget.



PAGE 8 OF 8 | ver. 16 August 2016

SECTION 5: IMPLEMENTATION ARRANGEMENTS AND OTHER INFORMATION

Please attach an "implementation map" or describe how funds will be managed by the NDA/FP or delivery partner

If the entity implementing the readiness support is not an accredited entity of the GCF, please complete the <u>Financial Management</u> <u>Capacity Assessment (FMCA)</u> questionnaireand submit it with this proposal.

Other relevant information

This box provides an opportunity to include any important information you wish to bring to the attention of the GCF Secretariat, but did not have an opportunity to provide in the sections above.